

FAX TRANSMISSION

JAN 5 - 6 11 37

US PATENT & TRADEMARK
OFFICE**DATE:** January 5, 2006**PTO IDENTIFIER:** Application Number 10/559,783
Patent Number**Inventor:** Mitsuko Kosaka**MESSAGE TO:** US Patent and Trademark Office, Attention: Refund Branch**FAX NUMBER:** (571) -273-6500**FROM:** EDWARDS ANGELL PALMER & DODGE LLP

Margaret J. McLaren, Ph.D., Esq.

PHONE: (954) 667-6148**Attorney Dkt. #:** 64614(70904)**PAGES (Including Cover Sheet):** 6**CONTENTS:** Request for Refund (1 page)
Certificate of Transmission (1 page)

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Adjustment date: 01/31/2006 RWITE1
12/16/2005 GFREY1 00000042 04.105 10559783
03 FC:1632 500.00 CR

01/31/2006 RWITE1 00000004 04.105 10559783
01 FC:1642 400.00 DA

PATENT

2006 JAN -6 PM 3:37

Docket No.: 64814(70904)
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Mitsuko Kosaka

Application No.: 10/559,783

Filed: December 8, 2005

Art Unit: N/A

For: METHOD FOR PRODUCING TISSUE
CELLS FROM PLURIPOTENT STEM
CELLS DERIVED FROM IRIS PIGMENTED
EPITHELIAL CELLS OF ANIMAL, AND
TISSUE CELLS OBTAINED BY METHOD

Examiner: Not Yet Assigned

REQUEST FOR REFUNDCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: Refunds Branch

Dear Sir:

Applicant requests a refund in the amount of \$100.00 due to an overpayment in Section 23 of the Transmittal Letter to the United States Designated/Elected Office Concerning a Submission Under 35 U.S.C. 371 (copy attached hereto). The International Search Report was prepared by an ISA other than the US and provided to the Office or previously Communicated to the US by the IB. Consequently, the fee should have been \$400.00 and not \$500.00.

Applicant respectfully requests a credit to Deposit Account No. 04-1105.

Dated: January 5, 2006

Respectfully submitted,

By



Margaret J. McLaren, Ph.D., Esq.

Registration No.: 53,303

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Attorneys/Agents For Applicant

Date: 01/31/2006 RWHITE1
00000002 041105 10559783
Sale Ref: 00000004 DA#: 041105 10559783
01 FC:1642 400.00 DA

PTO/SB/87 (08-04)
Approved for use through 07/31/2006. OMB 0831-0031
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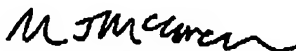
Application No. (if known): 10/569,783

Attorney Docket No.: 64614(70904)

Certificate of Transmission under 37 CFR 1.8

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on January 5, 2006
Date



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Fax Cover Sheet (1 page)
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PTO-1590 (Rev. 07-2005)

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**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

64814(70904)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/JP2004/008120INTERNATIONAL FILING DATE
10 June 2004PRIORITY DATE CLAIMED
11 June 2003

TITLE OF INVENTION

METHOD FOR PRODUCING TISSUE CELLS FROM PLURIPOTENT STEM CELLS DERIVED FROM IRIS
PIGMENTED EPITHELIAL CELLS OF ANIMAL AND TISSUE CELLS OBTAINED BY METHOD

APPLICANT(S) FOR DO/EQ/US

Mitsuko KOSAKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EQ/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
 2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (8), (9) and (21) indicated below.
 4. ☐ The US has been elected (Article 31).
 5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ A Combined Declaration and Power of Attorney of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.87 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A preliminary amendment.
 14. ☒ An Application Data Sheet under 37 CFR 1.76.
 15. ☐ A substitute specification.
 16. ☐ A power of attorney and/or change of address letter.
 17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
 19. ☐ A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).

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U.S. APPLICATION NO. (If known, see 37 CFR 1.6)		INTERNATIONAL APPLICATION NO. PCT/JP2004/008120		ATTORNEY'S DOCKET NUMBER 64614(70804)	
20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard					
The following fees have been submitted					
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(e))				CALCULATIONS	PTO USE ONLY
				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 200.00	
All other situations				\$ 200	
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 500.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$ 100	
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB				\$ 400	
All other situations				\$ 500	
TOTAL OF 21, 22 and 23 =				\$ 1,000.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequences listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(f)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100 =	/50 =		x \$250.00	\$	
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	-14 =		x		
Independent claims	-1 =		x		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/3.					
SUBTOTAL =				\$ 1,000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 1,000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(f)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.25, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				Amount to be charged	\$ 1,040.00

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- b. ☒ Please charge my Deposit Account No. 04-1105 in the amount of \$ 1,040.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1105. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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NAME

CUSTOMER NUMBER: 21874

53,303
REGISTRATION NUMBER